/ THE UNIVERSITY OF THE STATE OF NEW YORK



Commissioner of Education President of the University of the State of New York 89 Washington Avenue, Room 111 Albany, New York 12234 E-mail: commissioner@nysed.gov Twitter:@NYSEDNews Tel: (518) 474-5844 Fax: (518) 473-4909

November 9, 2016

Revised

Michael McGuire, Superintendent Trumansburg Central School District

NOTE:

Pursuant to sections 30-2.14 and 30-3.17 of the Rules of the Board of Regents, during the 2015-16 through 2018-19 school years, your district/BOCES must calculate transition scores and ratings for teachers and principals that exclude the results of grades 3-8 ELA and math State assessments and any State-provided growth scores. For the 2016-17 through 2018-19 school years, your district/BOCES must establish alternate SLOs for affected teachers and principals who, as a result of the above exclusions, have no remaining measures in the Student Performance Category.

Only documents that are incorporated by reference in your APPR have been reviewed and are considered as part of your APPR; therefore, any supplemental documents such as memorandums of agreement or understanding that were uploaded with your APPR but are not incorporated by reference in your APPR have not been reviewed. However, the Department reserves the right to review the uploaded attachments at any time for consistency with your APPR plan and/or to ensure compliance with applicable laws and regulations; and as a result of such review, the Department may reject your APPR plan and/or require corrective action.

Task 1. School District Information - Tasks 1.1, 1.2

Page Last Modified: 11/08/2016

Task 1) Disclaimers

For guidance related to Annual Professional Performance Review plans, see NYSED APPR Guidance: https://www.engageny.org/resource/appr-3012-d.

Status Date: 11/09/2016 07:40 AM

The Department will review the contents of each school district's/BOCES' Annual Professional Performance Review (APPR) plan as submitted using this online form, including required attachments, to determine if the plan rigorously complies with Education Law §3012-d and Subpart 30-3 of the Rules of the Board of Regents. Department approval does not imply endorsement of specific educational approaches in a district's/BOCES' plan.

The Department reserves the right to request further information from a district/BOCES to monitor compliance with Education Law §3012-d and Subpart 30-3 of the Rules of the Board of Regents. As such, each district/BOCES is required to keep detailed records on file for each section of the currently implemented APPR plan. Such detailed records must be provided to the Department upon request. The Department reserves the right to disapprove or require modification of a district's/BOCES' plan that does not rigorously adhere to the requirements of Education Law §3012-d and Subpart 30-3 of the Rules of the Board of Regents.

The Department will not review any attachments other than those required in the online form. Any additional attachments supplied by the school district/BOCES are for informational purposes only for the teachers and principals reviewed under this APPR plan. Statements and/or materials in such additional attachments have not been approved and/or endorsed by the Department. However, the Department considers void any other signed agreements between and among parties in any form that prevent, conflict, or interfere with full implementation of the APPR plan approved by the Department. The Department also reserves the right to request further information from the school district/BOCES, as necessary, as part of its review of this plan.

If the Department reasonably believes through investigation, or otherwise, that statements made in this APPR plan are not true or accurate, it reserves the right to reject this plan at any time and/or to request additional information to determine the truth and/or accuracy of such statements.

1.1) Assurances

Please check all of the boxes below

- Assure that the content of this form represents the district's/BOCES' entire APPR plan and that the APPR plan is in compliance with Education Law §3012-d and Subpart 30-3 of the Rules of the Board of Regents.
- Assure that a detailed version of the district's/BOCES' entire APPR plan is kept on file and that a copy of such plan will be provided to the Department upon request for review of compliance with Education Law §3012-d and Subpart 30-3 of the Rules of the Board of Regents.
- Assure that this APPR plan will be posted on the district/BOCES website no later than September 10th of each school year, or within 10 days after the plan's approval by the Commissioner, whichever shall later occur.
- Assure that it is understood that this district's/BOCES' APPR plan will be posted in its entirety on the NYSED website following approval

1.2) Submission Status

Is this a first-time submission under Education Law §3012-d or the submission of material changes to an APPR plan approved pursuant to Education Law §3012-d?

First-time submission under Education Law §3012-d

11/09/2016 07:43 AM Page 1 of 41

Task 2. Original Student Performance - Required (Teachers) - Original Tasks 2.1 (Assurances), 2.2 (4-8 ELA/Math)

Status Date: 11/09/2016 07:40 AM

Page Last Modified: 11/08/2016

	Grade 5 ELA	Grade 5 Math
State Assessment	Grade 5 ELA	Grade 5 Math
	Grade 6 ELA	Grade 6 Math
State Assessment	Grade 6 ELA	Grade 6 Math
	Grade 7 ELA	Grade 7 Math
State or Regents Assessment(s)	Grade 7 ELA	Grade 7 Math
	Grade 8 ELA	Grade 8 Math
State or Regents Assessment(s)	Grade 8 ELA	Grade 8 Math and Common Core Algebra

11/09/2016 07:43 AM Page 3 of 41

Task 2. Original Student Performance - Required (Teachers) - Original Tasks 2.3 (3 ELA/Math), 2.4 (4/8 SCI)

Status Date: 11/09/2016 07:40 AM

Page Last Modified: 11/08/2016

2.3) Grade 3 ELA and Math: Assessments (Original)

STUDENT LEARNING OBJECTIVES: Courses Ending with State Assessments or Regents Exams

For guidance on SLOs, see NYSED SLO Guidance: https://www.engageny.org/resource/appr-3012-d.

SLOs are the required student performance measure for teachers who do not receive a State-provided growth score. (Please note that for teachers with more than one grade and subject, SLOs must cover the courses taught with the largest number of students, combining sections with common assessments, until a majority of students are covered.)

For grade 3 ELA and math; grades 4 and 8 science; high school math, science, and social studies courses associated with Regents exams or, in the future, with other State assessments, the following must be used as the evidence of student learning within the SLO for students taking such assessments:

• State assessments (or Regents or Regent equivalents), required if one exists

Using the drop-down boxes below, please select the assessment that will be used for the SLOs for the grade/subject listed.

	Grade 3 ELA	Grade 3 Math
State Assessment	Grade 3 ELA	Grade 3 Math

2.4) Grades 4 and 8 Science: Assessments (Original)

STUDENT LEARNING OBJECTIVES: Courses Ending with State Assessments or Regents Exams

For guidance on SLOs, see NYSED SLO Guidance: https://www.engageny.org/resource/appr-3012-d.

SLOs are the required student performance measure for teachers who do not receive a State-provided growth score. (Please note that for teachers with more than one grade and subject, SLOs must cover the courses taught with the largest number of students, combining sections with common assessments, until a majority of students are covered.)

For grade 3 ELA and math; grades 4 and 8 science; high school math, science, and social studies courses associated with Regents exams or, in the future, with other State assessments, the following must be used as the evidence of student learning within the SLO for students taking such assessments:

• State assessments (or Regents or Regent equivalents), required if one exists

Using the drop-down boxes below, please select the assessment(s) that will be used for the SLOs for the grade/subject listed.

	Grade 4 Science	Grade 8 Science
State or Regents Assessment(s)	Common branch	Grade 8 Science and Earth Science

11/09/2016 07:43 AM Page 4 of 41

Annual Professional Performance Review - Education Law §3012-d

Task 2. Original Student Performance - Required (Teachers) - Original Tasks 2.5, 2.6 (High School Courses)

Status Date: 11/09/2016 07:40 AM

Page Last Modified: 11/08/2016

2.5) High School Courses Ending in a Regents Exam: Assessments (Original)

Note: Additional high school courses may be included in the "All Other Courses" section of this form (Task 2.10).

STUDENT LEARNING OBJECTIVES: Courses Ending with State Assessments or Regents Exams

For guidance on SLOs, see NYSED SLO Guidance: https://www.engageny.org/resource/appr-3012-d.

SLOs are the required student performance measure for teachers who do not receive a State-provided growth score. (Please note that for teachers with more than one grade and subject, SLOs must cover the courses taught with the largest number of students, combining sections with common assessments, until a majority of students are covered.)

For grade 3 ELA and math; grades 4 and 8 science; high school math, science, and social studies associated with Regents exams or, in the future, with other State assessments, the following must be used as the evidence of student learning within the SLO for students taking such assessments:

• State assessments (or Regents or Regent equivalents), required if one exists

Using the drop-down boxes below, please select the assessment(s) that will be used for the SLOs for the grade/subject listed.

	Global 2	US History
Regents Assessment	Global 2	US History

	Living Environment	Earth Science	Chemistry	Physics
Regents Assessment	Living Environment	Earth Science	Chemistry	Physics

	Algebra I	Geometry	Algebra II/Trigonometry
Regents Assessment(s)	Common Core Algebra	Common Core Geometry	Common Core Algebra II and
		and Geometry	Algebra II/Trigonometry

2.6) High School English Language Arts Courses: Measures and Assessments (Original)

Note: Additional high school English Language Arts courses may be included in the "All Other Courses" section of this form (Task 2.10).

STUDENT LEARNING OBJECTIVES: High School English Language Arts

For guidance on SLOs, see NYSED SLO Guidance: https://www.engageny.org/resource/appr-3012-d.

SLOs shall be used for the required student performance measure for teachers who do not receive a State-provided growth score. (Please note that for teachers with more than one grade and subject, SLOs must cover the courses taught with the largest number of students, combining sections with common assessments, until a majority of students are covered.)

For high school English Language Arts, the following must be used as the evidence of student learning within the SLO:

· State assessments (or Regents or Regent equivalents), required in at least one year of high school English Language Arts

For grade levels where the Regents exam is not used:

- · District-determined assessments from list of State-approved 3rd party assessments; or
- State-approved district, regionally or BOCES-developed course-specific assessments; or
- School- or program-wide, group, team, or linked results; or
- · District- or BOCES-wide results

11/09/2016 07:43 AM Page 5 of 41

Task 2. Original Student Performance - Required (Teachers) - Original Tasks 2.5, 2.6 (High School Courses)

Status Date: 11/09/2016 07:40 AM

Page Last Modified: 11/08/2016

Using the table below, please select the measure and assessment(s) that will be used for SLOs for the grade/subject listed.

	Measure	State or Regents	Locally-Developed Course-	Third Party	
		Assessment(s)	Specific Assessment(s)	Assessment(s)	
9 ELA	School- or program- wide group, team or	☑ Common Core English☑ Common Core Algebra			
	linked results	☑ Living Environment☑ Global 2☑ US History			
10 ELA	School- or program- wide group, team or linked results	 ☑ Common Core English ☑ Common Core Algebra ☑ Living Environment ☑ Global 2 ☑ US History 			
11 ELA	Teacher-specific results	☑ Common Core English			
12 ELA	School- or program- wide group, team or linked results	 ☑ Common Core English ☑ Common Core Algebra ☑ Living Environment ☑ Global 2 ☑ US History 			

11/09/2016 07:43 AM Page 6 of 41

Task 2. Original Student Performance - Required (Teachers) - Original Task 2.7 (K-2 ELA/Math)

Page Last Modified: 11/08/2016

2.7) Grades K-2 ELA and Math: Measures and Assessments (Original)

STUDENT LEARNING OBJECTIVES: Courses without State Assessments or Regents Exams

For guidance on SLOs, see NYSED SLO Guidance: https://www.engageny.org/resource/appr-3012-d.

SLOs shall be used for the required student performance measure for teachers who do not receive a State-provided growth score. (Please note that for teachers with more than one grade and subject, SLOs must cover the courses taught with the largest number of students, combining sections with common assessments, until a majority of students are covered.)

Status Date: 11/09/2016 07:40 AM

For other grades/subjects: district-determined assessments from options below may be used as evidence of student learning within the SLO:

- · District-determined assessments from list of State-approved 3rd party assessments; or
- · State-approved district, regionally or BOCES-developed course-specific assessments; or
- · School- or program-wide, group, team, or linked results; or
- · District- or BOCES-wide results

Using the table below, please select the measure and assessment(s) that will be used for SLOs for the grade/subject listed.

	Measure	State or Regents	Locally-Developed Course-	Third Party
		Assessment(s)	Specific Assessment(s)	Assessment(s)
K ELA	District- or BOCES-wide results	 ☑ Common Core English ☑ Common Core Algebra ☑ Living Environment ☑ Global 2 ☑ US History 		
K Math	District- or BOCES-wide results	 ☑ Common Core English ☑ Common Core Algebra ☑ Living Environment ☑ Global 2 ☑ US History 		
1 ELA	District- or BOCES-wide results	 ☑ Common Core English ☑ Common Core Algebra ☑ Living Environment ☑ Global 2 ☑ US History 		
1 Math	District- or BOCES-wide results	 ☑ Common Core English ☑ Common Core Algebra ☑ Living Environment ☑ Global 2 ☑ US History 		
2 ELA	District- or BOCES-wide results	 ☑ Common Core English ☑ Common Core Algebra ☑ Living Environment ☑ Global 2 ☑ US History 		
2 Math	District- or BOCES-wide results	 ☑ Common Core English ☑ Common Core Algebra ☑ Living Environment ☑ Global 2 ☑ US History 		

11/09/2016 07:43 AM Page 7 of 41

Status Date: 11/09/2016 07:40 AM

Task 2. Original Student Performance - Required (Teachers) - Original Task 2.7 (K-2 ELA/Math)

Page Last Modified: 11/08/2016

11/09/2016 07:43 AM Page 8 of 41

Task 2. Original Student Performance - Required (Teachers) - Original Tasks 2.8 (6-8 SCI/SS) 2.9 (Global 1)

Status Date: 11/09/2016 07:40 AM

Page Last Modified: 11/08/2016

2.8) Grades 6-7 Science and Grades 6-8 Social Studies: Measures and Assessments (Original)

STUDENT LEARNING OBJECTIVES: Courses without State Assessments or Regents Exams

For guidance on SLOs, see NYSED SLO Guidance: https://www.engageny.org/resource/appr-3012-d.

SLOs shall be used for the required student performance measure for teachers who do not receive a State-provided growth score. (Please note that for teachers with more than one grade and subject, SLOs must cover the courses taught with the largest number of students, combining sections with common assessments, until a majority of students are covered.)

For other grades/subjects: district-determined assessments from options below may be used as evidence of student learning within the SLO:

- · District-determined assessments from list of State-approved 3rd party assessments; or
- · State-approved district, regionally or BOCES-developed course-specific assessments; or
- · School- or program-wide, group, team, or linked results; or
- · District- or BOCES-wide results

Using the table below, please select the measure and assessment(s) that will be used for SLOs for the grade/subject listed.

11/09/2016 07:43 AM Page 9 of 41

Task 2. Original Student Performance - Required (Teachers) - Original Tasks 2.8 (6-8 SCI/SS) 2.9 (Global 1)

Status Date: 11/09/2016 07:40 AM

Page Last Modified: 11/08/2016

	Measure	State or Regents Assessment(s)	Locally-Developed Course-Specific Assessment(s)	Third Party Assessment(s)
6 Science	District- or BOCES- wide results	 ☑ Common Core English ☑ Common Core Algebra ☑ Living Environment ☑ Global 2 ☑ US History 		
7 Science	District- or BOCES- wide results	 ☑ Common Core English ☑ Common Core Algebra ☑ Living Environment ☑ Global 2 ☑ US History 		
6 Social Studies	District- or BOCES- wide results	 ☑ Common Core English ☑ Common Core Algebra ☑ Living Environment ☑ Global 2 ☑ US History 		
7 Social Studies	District- or BOCES- wide results	 ☑ Common Core English ☑ Common Core Algebra ☑ Living Environment ☑ Global 2 ☑ US History 		
8 Social Studies	District- or BOCES- wide results	 ☑ Common Core English ☑ Common Core Algebra ☑ Living Environment ☑ Global 2 ☑ US History 		

11/09/2016 07:43 AM Page 10 of 41

Task 2. Original Student Performance - Required (Teachers) - Original Tasks 2.8 (6-8 SCI/SS) 2.9 (Global 1)

Status Date: 11/09/2016 07:40 AM

Page Last Modified: 11/08/2016

2.9) Regents Global Studies 1: Measure and Assessment(s) (Original)

Note: Additional high school social studies courses may be included in the "All Other Courses" section of this form (Task 2.10).

STUDENT LEARNING OBJECTIVES: Courses without State Assessments or Regents Exams

For guidance on SLOs, see NYSED SLO Guidance: https://www.engageny.org/resource/appr-3012-d.

SLOs shall be used for the required student performance measure for teachers who do not receive a State-provided growth score. (Please note that for teachers with more than one grade and subject, SLOs must cover the courses taught with the largest number of students, combining sections with common assessments, until a majority of students are covered.)

For other grades/subjects: district-determined assessments from options below may be used as evidence of student learning within the SLO:

- · District-determined assessments from list of State-approved 3rd party assessments; or
- · State-approved district, regionally or BOCES-developed course-specific assessments; or
- · School- or program-wide, group, team, or linked results; or
- · District- or BOCES-wide results

Using the table below, please select the measure and assessment(s) that will be used for SLOs for Global Studies 1.

	Measure	State or Regents Assessment(s)	Locally-Developed Course- Specific Assessment(s)	Third Party Assessment(s)
Global 1	School- or program- wide group, team or linked results	 ☑ Common Core English ☑ Common Core Algebra ☑ Living Environment ☑ Global 2 ☑ US History 		

11/09/2016 07:43 AM Page 11 of 41

Annual Professional Performance Review - Education Law §3012-d

Status Date: 11/09/2016 07:40 AM

Task 2. Original Student Performance - RequirmI12-d

Annual Professional Performance Review - Education Law §3012-d

Task 2. Original Student Performance - Required (Teachers) - Original Tasks 2.10 (Other Courses), 2.11-2.14

Page Last Modified: 11/08/2016

20	19	18	17	16	15	14	13	12	11	10	9	8	7	6	5	4	3	2	1	0
97-	93-	90-	85-	80-	75-	67-	60-	55-	49-	44-	39-	34-	29-	25-	21-	17-	13-			
100	96	92	89	84	79	74	66	59	54	48	43	38	33	28	24	20	16			
		%						11								1	%			

Status Date: 11/09/2016 07:40 AM

Task 2. Transition Student Performance - Required (Teachers) - Task 2 Alternate SLOs

Page Last Modified: 11/08/2016

20	19	18	17	16	15	14	13	12	11	10	9	8	7	6	5	4	3	2	1	0
97- 100 %	96	92	89	84	79	74	66	59	54	48	43	38	33	28	24	20	16	12	5- 8%	0- 4%

Status Date: 11/09/2016 07:40 AM

2.12) Teachers with More Than One Growth Measure (Transition)

For more information on teachers with more than one growth measure, please see NYSED APPR Guidance and NYSED SLO Guidance: https://www.engageny.org/resource/appr-3012-d.

If educators have more than one alternate SLO, the measures will each earn a score from 0-20 points which districts/BOCES must weight proportionately based on the number of students in each SLO.

11/09/2016 07:43 AM Page 15 of 41

Annual Professional Performance Review - Education Law §3012-d

Status Date: 11/09/2016 07:40 AM

Task 4. Teacher Observation Category - Tasks 4.1-4.6

Page Last Modified: 11/08/2016

For guidance on the Teacher Observation category, see NYSED APPR Guidance: https://www.engageny.org/resource/appr-3012-d.

4.1) Teacher Practice Rubric

Select a teacher practice rubric from the menu of State-approved rubrics to assess performance based on the observable NYS Teaching Standards.

Rubric Name	If more than one rubric is utilized, please indicate the group(s) of teachers each rubric applies to.
Danielson's Framework for Teaching (2011 Revised Edition)	(No Response)

Status Date: 11/09/2016 07:40 AM

4.2) Assurances

Please check all of the boxes below.

- Assure that all observable NYS Teaching Standards/Domains of the selected practice rubric are assessed at least once a year across the total number of annual observations.
- Assure that the process for assigning points for the Teacher Observation category will be in compliance with the locally-determined subcomponent weights and overall Observation category score and rating based on the constraints prescribed by the Commissioner in Subpart 30-3 of the Rules of the Board of Regents.
- Assure that the same rubric(s) is used for all classroom teachers in a grade/subject across the district/BOCES, provided that districts/BOCES may locally determine whether to use different rubrics for teachers who teach different grades and/or subjects during the school year.
- Assure that the same rubric(s) is used for all observations of a classroom teacher across the observation types in a given school year.

4.3) Process for Weighting Rubric Domains/Subcomponents

For guidance on the Teacher Observation category, see NYSED APPR Guidance: https://www.engageny.org/resource/appr-3012-d.

Please describe the process for weighting the observable domains/subcomponents of the chosen practice rubric (e.g., All observable components will be weighted equally and averaged).

All observable components will be weighted equally and averaged.

4.4) Calculating Observation Ratings

Assurances

Please check the boxes below.

- Assure that each set of observations (by supervisor/other trained administrator, independent, or peer) will be completed using the selected practice rubric, producing an overall score between 1 and 4. The overall weighted observation score will then be converted into a HEDI rating using the ranges indicated below.
- Assure that once all observations are complete, the different types of observations will be combined using a weighted average consistent with the weights specified in task 4.5 below, producing an overall Observation category score between 0 and 4. In the event that a teacher earns a score of 1 on all rated components of the practice rubric across all observations, a score of 0 will be assigned.

Please also check the boxes below.

- Assure that if the district is granted an annual Rural/Single Building District Independent Evaluator Hardship Waiver by the Department, the second observation(s) shall be conducted by one or more evaluators selected and trained by the district, who are different than the evaluator(s) who conducted the observation(s) required to be performed by the principal/supervisor or other trained administrator. See Section 30-3.4(d)(2)(i)(b)(1) of the Rules of the Board of Regents.
- Assure that if the district/BOCES is granted an annual Undue Burden Independent Evaluator Hardship Waiver by the Department, the terms of such waiver shall apply for the school year during which the waiver is effective; and, that in any school year for which there is an approved waiver and such waiver contains information that conflicts with the information provided in Task 4 of the district's/BOCES' approved §3012-d APPR plan, the provisions of the approved waiver will apply. See Section 30-3.4(d)(2)(i)(b)(2) of the Rules of the Board of Regents.

Teacher Observation Scoring Bands

11/09/2016 07:43 AM Page 17 of 41

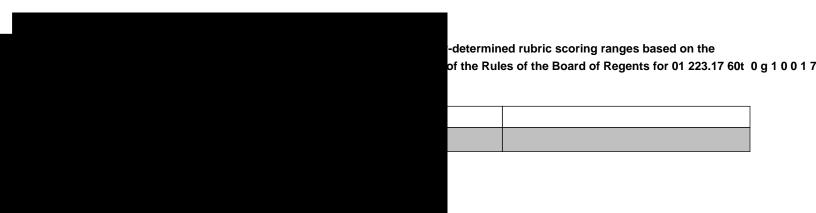
Annual Professional Performance Review - Education Law §3012-d

Task 4. Teacher Observation Category - Tasks 4.1-4.6

Page Last Modified: 11/08/2016

	Overall Observation Category Score and Rating						
	Minimum Maximum						
Н	3.5 to 3.75	4.0					
E	2.5 to 2.75	3.49 to 3.74					
D	1.5 to 1.75	2.49 to 2.74					
I	0	1.49 to 1.74					

Status Date: 11/09/2016 07:40 AM



Task 4. Teacher Observation Category - Tasks 4.1-4.6

Page Last Modified: 11/08/2016

Required - Principal/ Administrator	Required - Independent Evaluator(s)	' '	Grades and subjects for which Peer Observers will be used
90%	10%	N/A	(No Response)

Status Date: 11/09/2016 07:40 AM

4.6) Assurances

Please check all of the boxes below.

- Assure that independent evaluator(s) are not employed in the same school building, as defined by BEDS code, as the teacher(s) they are evaluating.
- Assure that, if observations are being conducted by trained peer observer(s), these teacher(s) received an overall rating of Effective or Highly Effective in the previous school year.
- Assure that the following elements will not be used in calculating a teacher's Observation category score and rating: evidence of student development and performance derived from lesson plans, other artifacts of teacher practice, and student portfolios, except for student portfolios measured by a State-approved rubric where permitted by the Department; use of an instrument for parent or student feedback; use of professional goal-setting as evidence of teacher effectiveness. Consistent with Subpart 30-3 of the Rules of the Board of Regents, assure that points shall not be allocated based on any artifacts, unless such artifact constitutes evidence of an otherwise observable rubric subcomponent.
- Assure that the length of all observations for teachers will be conducted pursuant to the locally-determined durations.
- Assure that independent evaluator(s) will be trained and selected by the district/BOCES.
- ☑ Assure that peer observer(s), as applicable, will be trained and selected by the district/BOCES.
- ☑ Assure that at least one of the required observations will be unannounced.

4.7) Number and Method of Observations

Indicate the minimum number of unannounced and announced observations for each type of observer, as well as the method of observation, in the tables below.

Tenured Teachers

	Required - Principal/ Administrator: Minimum observations	Required - Principal/ Administrator: Observation method	Required - Independent Evaluator(s): Minimum observations	Required - Independent Evaluator(s): Observation method	Optional - Peer Observer(s): Minimum observations	Optional - Peer Observer(s): Observation method
Unannounced	0	N/A	1	In person	0	N/A
Announced	1	In person	0	N/A	0	N/A

Probationary Teachers

	Principal/	Required - Principal/ Administrator: Observation	Required - Independent Evaluator(s): Minimum	Required - Independent Evaluator(s): Observation	Optional - Peer Observer(s): Minimum observations	Optional - Peer Observer(s): Observation method
	observations	method	observations	method		
Unannounced	0	N/A	1	In person	0	N/A
Announced	2	In person	0	N/A	0	N/A

11/09/2016 07:43 AM Page 19 of 41

Annual Professional Performance Review - Education Law §3012-d

Task 6. Additional Requirements (Teachers) - Tasks 6.1-6.9

Page Last Modified: 11/08/2016

For more information on the additional requirements for teachers, see NYSED APPR Guidance: https://www.engageny.org/resource/appr-3012-d.

6.1) Assurances: Teacher Improvement Plans

Please check all of the boxes below.

Assure that the district/BOCES will formulate and commence implementation of a Teacher Improvement Plan (TIP) for all teachers who receive a Developing or Ineffective rating by October 1 following the school year for which such teacher's performance is being measured or as soon as practicable thereafter.

Assure that TIP plans developed and implemented by the superintendent or his/her designee, in the exercise of their pedagogical judgment, and

Status Date: 11/09/2016 07:40 AM

Task 6. Additional Requirements (Teachers) - Tasks 6.1-6.9

Page Last Modified: 11/08/2016

Describe the procedure for ensuring that appeals of annual performance evaluations will be handled in a timely and expeditious way.

Status Date: 11/09/2016 07:40 AM

Trumansburg Central School District

PROCEDURES FOR APPEALING AN ANNUAL PROFESSIONAL PERFORMANCE REVIEW

The following procedures are the exclusive means for initiating, reviewing and resolving any and all challenges and appeals related to a teacher's annual professional performance review.

This procedure shall be in effect unless changed by the parties or until the requirement to have such a procedure under Education Law §3012-d is repealed by law, regulation or a valid ruling by a court or administrative agency with jurisdiction.

- 1. A teacher who receives an overall composite rating of "ineffective" may appeal his or her performance review. An overall composite APPR rating of "developing" may only be appealed if the teacher received an overall composite APPR rating of "highly effective" or "effective" for the school year immediately preceding the "developing" rating. This shall be the only circumstance in which an overall composite APPR rating of "developing" may be appealed. Ratings of "highly effective" or "effective" cannot be appealed.
- 2. A teacher may appeal only: a) the substance of his or her performance review which shall include the instance of a teacher rated Ineffective on the Student Performance Category but rated Highly Effective on the Observation Category based on an anomaly, as determined locally; b) the school district's adherence to standards and methodologies required for such reviews pursuant to Education Law §3012-d and Subpart 30-3 of Commissioner's regulations; c) the adherence to the Commissioner's regulations, as applicable to such review and compliance with any applicable locally negotiated procedures, as required under Education Law §3012-d and, d) the school district's issuance and/or implementation of the terms of the teacher improvement plan under Education Law §3012-d.
- 3. The grievance and/or arbitration procedures in any negotiated agreement shall not be used to appeal or review a teacher's annual professional performance review. To the extent that a conflict exists between a negotiated agreement and this procedure, the terms and conditions of this procedure shall prevail and be applied.
- 4. A teacher may not file multiple appeals regarding the same performance review. All grounds for appealing a particular performance review must be raised within the same appeal. Any grounds not raised at the time the appeal is filed shall be deemed waived.
- 5. Appeals concerning a teacher's performance review or the issuance and/or implementation of a Teacher Improvement Plan must be received in the office of the Superintendent of Schools no later than ten (10) school days after the date when the teacher receives his/her overall composite APPR rating or the issuance and/or implementation of a Teacher Improvement Plan. The failure to submit an appeal to the Superintendent of Schools within this time frame shall result in a waiver of the teacher's right to appeal that overall composite APPR rating or the issuance and/or implementation of a Teacher Improvement Plan.

A teacher wishing to initiate an appeal must submit, in writing (e-mail or other electronic submissions are not permitted), to the Superintendent or

Annual Professional Performance Review - Education Law §3012-d

Task 6. Additional Requirements (Teachers) - Tasks 6.1-6.9

Page Last Modified: 11/08/2016

regulations of the commissioner of education. The school district will bear the cost of providing such Both the evaluator responsible for the performance review and the employee will be free to discuss the evaluation procedure and/or the substantive content at issue; however any new substantive information, not presented as indicated in numbers (6) and (7) above, shall not be permissible as part of the decision of the Appeal Panel.

Status Date: 11/09/2016 07:40 AM

- 11. The Appeal Panel shall issue a written decision on the merits of the classroom teacher's appeal no later than fifteen (15) school days from the conclusion of the conference.
- 12. The Appeal Panel shall reach their finding using the consensus model. The decision of the Appeal Panel shall be based on substantive grounds supported by the record comprised of the documents and written material submitted by the teacher with his or her appeal and the school district with its response in accordance with the provisions of this Agreement. It shall set forth the reasons and factual basis for each determination on each of the specific issues raised in the teacher's appeal.
- 13. The decision of the Appeal Panel shall be tendered to the classroom teacher and to the school district staff member who issued the performance review and/or the improvement plan.
- 14. The decision of the Appeal Panel shall be final and an appeal shall be deemed completed upon the issuance of that decision. An appeal or determination under this section shall not be subject to any further appeal.

A decision sustaining an appeal regarding the substance of a classroom teacher's particular performance review for the teacher shall require that the school district revi0. with huppeal or

Task 6. Additional Requirements (Teachers) - Tasks 6.1-6.9

Page Last Modified: 11/08/2016

Please check all of the boxes below.

Assure that, where applicable, if students in Common Core courses are taking both the 2005 Learning Standards and Common Core versions of the Regents Assessment, then the district/BOCES will use the higher of the two scores to determine whether a student has met his/her growth target.

Status Date: 11/09/2016 07:40 AM

- Assure that the amount of time devoted to traditional standardized assessments that are not specifically required by state or federal law for each classroom or program within a grade level does not exceed, in the aggregate, one percent of the minimum required annual instructional hours for the grade.
- Assure that individuals with vested interest in the outcome of their assessments are not involved, to the extent practicable, in the administration and scoring of those assessments.
- Assure that, where applicable, if your district/BOCES has indicated that more than one version of a Regents assessment for a content area will be used as the underlying evidence for an SLO, that the district/BOCES will only administer both assessments within the timeframes prescribed by the Commissioner. Where only one version of a Regents assessment for a content area is administered in a particular school year, assure that only that assessment will be used as the underlying evidence for an SLO.

6.9) Assurances: Data

Please check all of the boxes below.

- Assure that SED will receive accurate teacher and student data, including enrollment and attendance data, and any other student, teacher, school, course, and teacher/student linkage data necessary to comply with regulations, in a format and timeline prescribed by the Commissioner.
- Assure that the district/BOCES provides an opportunity for every classroom teacher to verify the subjects and/or student rosters assigned to them.
- Assure scores and ratings for all teachers will be reported to NYSED for each category, as well as the overall rating, as per NYSED requirements.
- Assure that enrolled students in accordance with teacher of record policies are included and may not be excluded.
- ☑ Assure that procedures for ensuring data accuracy and integrity are being utilized.

11/09/2016 07:43 AM Page 25 of 41

Annual Professional Performance Review - Education Law §3012-d

Task 7. Original Student Performance - Required (Principals) - Original Tasks 7.2 (SLOs), 7.3-7.6

Page Last Modified: 11/08/2016

7.2) Student Learning Objectives (Original)

For guidance on SLOs, see NYSED SLO Guidance: https://www.engageny.org/resource/appr-3012-d.

SLOs must be used for principals in buildings or programs in which fewer than 30% of students take Grades 4-8 ELA, math, and/or high school courses with State or Regents assessments. SLOs will be developed using the grades/courses covering the most students in the school or program and continuing until at least 30% of students in the school or program are covered by SLOs. The district/BOCES must select the type of assessment that will be used with the SLO from the options below.

Status Date: 11/09/2016 07:40 AM

- If any grade/course in the building has a State-provided growth measure AND the principal must have SLOs because fewer than 30% of students in the building are covered, then the SLOs must begin first with the SGP results.
- Additional SLOs must then be set based on grades/subjects with State assessments for which there is no State-provided growth measure, where applicable.
- If additional SLOs are necessary, principals must begin with the grade(s)/courses(s) that have the largest number of students using school-wide student results from one of the following assessment options:
- · State-approved 3rd party assessment; or
- · State-approved district, regionally, or BOCES-developed course-specific assessment.

For each grade configuration indicate the following:

- · Column 1: lowest grade that corresponds to the building or program
- · Column 2: highest grade that corresponds to the building or program
- · Column 3: measure used
- Column 4: assessment(s) used

Follow the examples below.

	(1) lowest grade	(2) highest grade	(3) measure	(4) assessment(s)
Grades K-2 Building	K	2	District- or BOCES-wide	Common Core English, Common Core Algebra, Living Environment, Global 2, US History
Grades 11-12 Building	11	12	Principal-specific results	Common Core English, US History

Status Date: 11/09/2016 07:40 AM

Task 7. Original Student Performance - Required (Principals) - Original Tasks 7.2 (SLOs), 7.3-7.6

Page Last Modified: 11/08/2016

Highly 1	Effectiv	ve	Effectiv	/e		Develo	ping	Ineffec	tive											
20	19	18	17	16	15	14	13	12	11	10	9	8	7	6	5	4	3	2	1	0
97-		90-			I I		l I	II	49-	44-	39-	34-	29-	25-	21-	17-	13-	9-	5-	0-
100 %		92 %			I I				54 %			38 %				20 %	_	12 %		4%

7.4) Principals with More Than One Growth Measure (Original)

For more information on principals with more than one growth measure, please see NYSED APPR Guidance and SLO Guidance: https://www.engageny.org/resource/appr-3012-d.

If educators have more than one State-provided growth score and rating, those scores and ratings will be combined into one score and HEDI rating for the Required Student Performance subcomponent provided by the Department. (Examples: Principals of K-8 schools with growth measures for ELA and math grades 4-8.)

If educators have more than one SLO (or a State-provided growth measure and an SLO), the measures will each earn a score from 0-20 points which districts/BOCES must weight proportionately based on the number of students in each SLO (or in the State-provided growth measure and the SLO).

7.5) Assurances

Please check all of the boxes below.

$ \checkmark $	Assure that the principal has an SLO or a back-up SLO, where applicable, consistent with the goal setting process developed by the
	Commissioner that results in a student growth score.
	Assure that all growth targets represent a minimum of one year of expected growth, as determined by the superintendent or another trained

Status Date: 11/09/2016 07:40 AM

Task 7. Transition Student Performance - Required (Principals) - Task 7 Alternate SLOs

Page Last Modified: 11/08/2016

Grade From	Grade To	Measure	State or Rege Assessment(Third Party Assessment(s)
К	4	District- or BOCES-wide results	 ☑ Common Core Eng ☑ Common Core Alge ☑ Living Environm ☑ Global 2 ☑ US Histor 	glish ebra nent	
5	8	District- or BOCES-wide results	 ☑ Common Core Eng ☑ Common Core Alge ☑ Living Environm ☑ Global 2 ☑ US Histor 	glish ebra nent	

7.3) HEDI Scoring Bands

Highly	Effectiv	ve	Effectiv	⁄e		Develo	ping	Ineffec	tive											
20	19	18	17	16	15	14	13	12	11	10	9	8	7	6	5	4	3	2	1	0
97-	93-	90-	85-	80-	75-	67-	60-	55-	49-	44-	39-	34-	29-							
100	96	92	89	84	79	74	66	59	54	48	43	38								
%	%	%	%	%	%	%	%	%	%	%	%	%								

Task 8.1. Optional Subcomponent Use (Principals) - Task 8.1 (Subcomponent Use and Weighting)

Page Last Modified: 11/08/2016

Task 8) Optional Student Performance Subcomponent

For guidance on the Optional subcomponent of the Student Performance category, see NYSED APPR Guidance: https://www.engageny.org/resource/appr-3012-d.

Status Date: 11/09/2016 07:40 AM

Up to 50% of Student Performance category, if selected.

Such second measure shall apply in a consistent manner, to the extent practicable, across all buildings with the same grade configuration or program in the district/BOCES and be either:

- (A) a second State-provided growth score on a State-created or administered test, provided that a different measure is used than that for the Required subcomponent in the Student Performance category, or
- (B) a growth score based on a State-designed supplemental assessment, calculated using a State-provided or approved growth model.

8.1) Use of the Optional Subcomponent for Student Performance Measures

Please indicate if the Optional subcomponent will be used by making the appropriate selection below.

NO, the Optional subcomponent WILL NOT be used in the Student Performance category for any principal.

11/09/2016 07:43 AM Page 32 of 41

Annual Professional Performance Review - Education Law §3012-d

Task 9. Principal School Visit Category - Tasks 9.1-9.6

Page Last Modified: 11/08/2016

For guidance on the Principal School Visit category, see NYSED APPR Guidance: https://www.engageny.org/resource/appr-3012-d.

9.1) Principal Practice Rubric

Select a principal practice rubric from the menu of State-approved rubrics to assess performance based on ISLLC 2008 Standards.

Status Date: 11/09/2016 07:40 AM

Rubric Name	If more than one rubric is utilized, please indicate the group(s) of principals each rubric applies to.
Multidimensional Principal Performance Rubric	(No Response)

9.2) Assurances

Please check all of the boxes below.

- Assure that all observable ISLLC 2008 Leadership Standards/Domains of the selected practice rubric are assessed at least once a year across the total number of annual school visits.
- Assure that the process for assigning points for the Principal School Visit category will be in compliance with the locally-determined subcomponent weights and overall School Visit category score and rating based on the constraints prescribed by the Commissioner in Subpart 30-3 of the Rules of the Board of Regents.
- Assure that the same rubric(s) is used for all principals in the same or similar programs or grade configurations across the district/BOCES, provided that districts/BOCES may locally determine whether to use different rubrics for a principal assigned to different grade level configurations or building types.
- Assure that the same rubric(s) is used for all school visits for a principal across the school visit types in a given school year.

9.3) Process for Weighting Rubric Domains/Subcomponents

For guidance on the Principal School Visit category, see NYSED APPR Guidance: https://www.engageny.org/resource/appr-3012-d.

Please describe the process for weighting the observable domains/subcomponents of the chosen practice rubric (e.g., All observable components will be weighted equally and averaged).

All observable components will be weighted equally and averaged.

9.4) Calculating School Visit Ratings

Assurances

Please check the boxes below.

- Assure that each set of school visits (by supervisor/other trained administrator, independent, or peer) will be completed using the selected practice rubric, producing an overall score between 1 and 4. The overall weighted school visit score will be converted into a HEDI rating using the ranges indicated below.
- Assure that once all school visits are complete, the different types of school visits will be combined using a weighted average consistent with the weights specified in task 9.5 below, producing an overall School Visit category score between 0 and 4. In the event that a principal earns a score of 1 on all rated components of the practice rubric across all observations, a score of 0 will be assigned.

Please also check the boxes below.

- Assure that if the district is granted an annual Rural/Single Building District Independent Evaluator Hardship Waiver by the Department, the second school visit(s) shall be conducted by one or more evaluators selected and trained by the district, who are different than the evaluator(s) who conducted the school visit(s) required to be performed by the Superintendent/supervisor or his/her designee. See Section 30-3.5(d)(1)(ii)(a) of the Rules of the Board of Regents.
- Assure that if the district/BOCES is granted an annual Undue Burden Independent Evaluator Hardship Waiver by the Department, the terms of such waiver shall apply for the school year during which the waiver is effective and, that in any school year for which there is an approved waiver and such waiver contains information that conflicts with the information provided in Task 9 of the district's/BOCES' approved §3012-d APPR plan, the provisions of the approved waiver will apply. See Section 30-3.5(d)(1)(ii)(b) of the Rules of the Board of Regents.

Principal School Visit Scoring Bands

11/09/2016 07:43 AM Page 33 of 41

Status Date: 11/09/2016 07:40 AM

Annual Professional Performance Review - Education Law §3012-d

Task 9. Principal School Visit Category - Tasks 9.1-9.6

Page Last Modified: 11/08/2016

	Overall School Visit Score and Rating	Category
	Minimum	Maximum
Н	3.5 to 3.75	4.0
E	2.5 to 2.75	3.49 to 3.74
D	1.5 to 1.75	2.49 to 2.74
I	0	1.49 to 1.74

HEDI Ranges

Using the dropdown menus below, please indicate the locally-determined rubric scoring ranges based on the constraints prescribed by the Commissioner in Subpart 30-3 of the Rules of the Board of Regents for each of the rating categories.

		Minimum Rubric Score	Maximum Rubric Score
F	Highly Effective:		

Annual Professional Performance Review - Education Law §3012-d

Task 9. Principal School Visit Category - Tasks 9.1-9.6

Page Last Modified: 11/08/2016

Required - Supervisor/ Adminstrator	Required - Independent Evaluator(s)	' '	Grade configurations for which Peer Observers will be used
90%	10%	N/A	(No Response)

Status Date: 11/09/2016 07:40 AM

9.6) Assurances

Please check all of the boxes below.

- Assure that independent evaluator(s) are not employed in the same school building, as defined by BEDS code, as the principal(s) they are evaluating.
- Assure that, if school visits are being conducted by trained peer observer(s), these principal(s) received an overall rating of Effective or Highly Effective in the previous school year.
- Assure that the following elements will not be used in calculating a principal's school visit category score and rating: evidence of student development and performance derived from lesson plans, other artifacts of principal practice, and student portfolios, except for student portfolios measured by a State-approved rubric where permitted by the Department; use of an instrument for parent or student feedback; use of professional goal-setting as evidence of principal effectiveness. Consistent with Subpart 30-3 of the Rules of the Board of Regents, assure that points shall not be allocated based on any artifacts, unless such artifact constitutes evidence of an otherwise observable rubric subcomponent.
- Assure that the length of all school visits for principals will be conducted pursuant to the locally-determined durations.

 Assure that independent evaluator(s) will be rg (Assu Independent)Tj 1 /c1q2esure.g 0 sdrDpal effectiveness. Consi4460s-TESm /img1 Do Q BT 1 0 0 1 79.5 44v

Annual Professional Performance Review - Education Law §3012-d

Status Date: 11/09/2016 07:40 AM

Task 10. Overall Scoring (Principals) - Tasks 10.1-10.3

Page Last Modified: 11/08/2016

Annual Professional Performance Review - Education Law §3012-d

Task 11. Additional Requirements (Principals) - Tasks 11.1-11.9

Page Last Modified: 11/08/2016

Describe the procedure for ensuring that appeals of annual performance evaluations will be handled in a timely and expeditious way.

Status Date: 11/09/2016 07:40 AM

Trumansburg Central School District Principal Appeal Process

- A. Appeals are limited to those identified by Education Law §3012-d, as follows:
- 1. The substance of the annual professional performance review which shall include the instance of a principal rated Ineffective on the student performance category but rated Highly Effective on the observation/school visit category based on an anomaly, as determined locally.
- 2. The school district's adherence to the standards and methodologies required for such reviews pursuant to Education Law §3012-d and Subpart 30-3 of Commissioner's regulations;
- The adherence to the Commissioner's regulations, as applicable to such reviews and compliance with any applicable locally negotiated procedures,
- Compliance with any applicable locally negotiated procedures applicable to annual professional performance reviews or improvement plans as required under Education Law §3012-d; and
- 5. The school district's issuance and/or implementation of the terms of the principal improvement plan under Education Law §3012-d.
- 6. Appeals of annual professional performance reviews will be limited to those that rate a principal as Ineffective or Developing only or where compensation decisions are linked to rating categories.
- 7. A principal may not file multiple appeals regarding the same performance review or principal improvement plan. Appeals related to the issuance of an improvement plan are limited to issues regarding compliance with the requirements prescribed in applicable law and regulations for the issuance of improvement plans. The implementation of an improvement plan may be appealed upon each alleged breach thereof. All grounds for appeal must be raised with specificity within such appeal. Any grounds not raised shall be deemed waived.
- 8. The burden shall be on the district to establish by the preponderance of the evidence that the rating given to the appellant was justified or that an improvement plan was appropriately issued, should the substance of the APPR be the basis for the appeal. The burden shall be on the principal to establish by the preponderance of the evidence that the procedures or timelines were not followed by the District or that the improvement plan was not appropriately implemented, should that be a basis of appeal.
- 9. All appeals shall be filed in writing and mailed via certified mail through US Mail and addressed to the Superintendent.

Annual Professional Performance Review - Education Law §3012-d

Task 11. Additional Requirements (Principals) - Tasks 11.1-11.9

Page Last Modified: 11/08/2016	11/08/2016
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• The parties shall exchange an anticipated witness list no less than two (2) business days before the scheduled hearing date.		

Status Date: 11/09/2016 07:40 AM

Annual Professional Performance Review - Education Law §3012-d

Task 11. Additional Requirements (Principals) - Tasks 11.1-11.9

Page Last Modified: 11/08/2016

Please check all of the boxes below.

Assure that the district/BOCES shall compute and provide to the principal their score and rating for the Student Performance category, if available, and for the Principal School Visit category for the principal's Annual Professional Performance Review, in writing, no later than the last school day of the school year for which the principal is being measured, but in no case later than September 1 of the school year next following the school year for which the principal's performance is being measured.

Status Date: 11/09/2016 07:40 AM

- ☑ Assure that the evaluation system will be used as a significant factor for employment decisions.
- Assure that principals will receive timely and constructive feedback as part of the evaluation process.
- Assure that the following prohibited elements listed in Education Law §3012-d(6) are not being used as part of any principal's evaluation: evidence of student development and performance derived from lesson plans, other artifacts of principal practice, and student portfolios, except for student portfolios measured by a State-approved rubric where permitted by the department; use of an instrument for parent or student feedback; use of professional goal-setting as evidence of principal effectiveness; any district or regionally-developed assessment that has not been approved by the department; and any growth or achievement target that does not meet the minimum standards as set forth in regulations of the Commissioner. Consistent with Subpart 30-3 of the Rules of the Board of Regents, assure that points shall not be allocated based on any artifacts, unless such artifact constitutes evidence of an otherwise observable rubric subcomponent.
- Assure that, during the 2015-16 through 2018-19 school years, the district/BOCES shall compute and provide principals whose Student Performance Category measures are based, in whole or in part, on the grades 3-8 ELA/math State assessments and/or State-provided growth scores with their APPR transition scores and ratings as soon as practicable, but in no case later than September 1 of the school year next following the school year for which the principal's performance is being measured.
- Assure that, during the 2015-16 through 2018-19 school years, the district/BOCES shall provide such principals with their original composite rating by September 1 of the school year next following the school year for which the principal's performance is being measured, or as soon as practicable thereafter.

11.8) Assurances: Assessments

Please check all of the boxes below.

- Assure that, where applicable, if students in Common Core courses are taking both the 2005 Learning Standards and Common Core versions of the Regents Assessment, then the district/BOCES will use the higher of the two scores to determine whether a student has met his/her growth target.
- Assure that the amount of time devoted to traditional standardized assessments that are not specifically required by state or federal law for each classroom or program within a grade level does not exceed, in the aggregate, one percent of the minimum required annual instructional hours for the grade.
- Assure that individuals with vested interest in the outcome of their assessments are not involved, to the extent practicable, in the administration and scoring of those assessments.
- Assure that, where applicable, if your district/BOCES has indicated that more than one version of a Regents assessment for a content area will be used as the underlying evidence for an SLO, that the district/BOCES will only administer both assessments within the timeframes prescribed by the Commissioner. Where only one version of a Regents assessment for a content area is administered in a particular school year, assure that only that assessment will be used as the underlying evidence for an SLO.

11.9) Assurances Data

Please check all of the boxes below.

- Assure that SED will receive accurate teacher and student data, including enrollment and attendance data, and any other student, teacher, school, course, and teacher/student linkage data necessary to comply with regulations, in a format and timeline prescribed by the Commissioner.
- Assure that the district/BOCES provides an opportunity for every classroom teacher to verify the subjects and/or student rosters assigned to them.
- Assure scores and ratings for all principals will be reported to NYSED for each category, as well as the overall rating, as per NYSED requirements.
- 🗷 Assure that enrolled students in accordance with policies for student assignment to schools and may not be excluded.
- ☑ Assure that procedures for ensuring data accuracy and integrity are being utilized.

11/09/2016 07:43 AM Page 40 of 41

Annual Professional Performance Review - Education Law §3012-d

Status Date: 11/09/2016 07:40 AM

Trumansburg Central School District Teacher Improvement Plan (TIP)

STATUS

DATE FINAL EVALUATION CONDUCTED:

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	Year Probationer	
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0	1 1	professional performance review rated as Developing or Ineffective chool year for which such teacher's performance is be meas

Teacher Improvement Plan (TIP)

In the space below, describe the following:

Teacher Improvement Plan (TIP)

$\underline{TIP\ Progress\ Monitoring\ Conference(s)}$

Date: Comments:	Date: Comments:	Date: Comments:	Date: Comments:	Date: Comments:
Comments.	Comments.	Comments.	Comments.	Comments.

Final TIP Conference

Administrator's Comments:	
Administrator's Signature	Date
Educator's Comments:	
Educator's Signature	Date

FORM A Principal Improvement Plan (PIP)

FORM C

Principal Improvement Plan (PIP)

PIP Progress Monitoring Conference(s)

Date:	Date:	Date:	Date:	Date:
Comments:	Comments:	Comments:	Comments:	Comments:

FORM D

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